

10/05/01
JC821 U.S. PTO

10-09-01

OCKET NO.: 1819/100211
EXPRESS MAIL NO.: EL801322825US
A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM
(only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231
BOX: PATENT APPLICATION

JC821 U.S. PTO
09/972223
10/05/01

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, 22 pages) of:

Inventors : **Mitchell Rosen and Noboru Ohta**

For : **A DATA-EFFICIENT AND SELF-ADAPTING IMAGING SPECTROMETRY METHOD AND AN APPARATUS THEREOF**

***If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

Continuation Divisional Continuation-In-Part (CIP)
of prior application Serial No. *[Handwritten number: 09/972223]*

Prior application information: Examiner :
Art Unit :

Enclosed are:

5 sheets of informal drawings.

Signed Combined Declaration and Power of Attorney (____ pages).

Copy of signed Combined Declaration and Power of Attorney (____ pages) from a prior application (1.63(d)) (for continuation/divisional).

Signed statement deleting inventor(s) named in prior application (____ pages) (1.63(d)(2) and 1.33(b)).

Incorporation By Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.

Assignment (____ pages) of the invention to _____.

Assignment Transmittal Letter.

Certified copy of a foreign priority document.

Associate power of attorney.

Applicant claims small entity status. (See 37 CFR 1.27.)

Preliminary Amendment (pages).

Information Disclosure Statement, form PTO-1449 (1 pages) and 7 references.

UNSIGNED Combined Declaration and Power of Attorney (2 pages).

Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.

A self-addressed, prepaid postcard acknowledging receipt.

Other:

The Filing fee has been calculated as shown below:

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BASIC FEE	XXXXXXX	XXXXXXX
TOTAL CLAIMS	41 - 20 =	21
INDEP CLAIMS	4 - 3 =	1
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

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The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**

Address all future communications to:

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Clinton Square, P.O. Box 31051
Rochester, New York 14603

Date: October 5, 2001



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EXPRESS MAIL CERTIFICATE

DOCKET NO. : **1819/100210**

APPLICANTS : **Mitchell Rosen and Noboru Ohta**

TITLE : **A DATA-EFFICIENT AND SELF-ADAPTING IMAGING
SPECTROMETRY METHODS AND AN APPARATUSES
THEREOF**

Certificate is attached to the **Information Disclosure Statement (1 page)** and
PTO-1449 Form (1 page) in duplicate of the above-named application.

“EXPRESS MAIL” NUMBER: **EL801322825US**

DATE OF DEPOSIT: **October 5, 2001**

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Gunnar G. Leinberg

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Gunnar Leinberg

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EXpress MAIL CERTIFICATE

DOCKET NO. : **1819/100211**

APPLICANTS : **Mitchell Rosen and Noboru Ohta**

TITLE : **A DATA-EFFICIENT AND SELF-ADAPTING IMAGING
SPECTROMETRY METHOD AND AN APPARATUS
THEREOF**

Certificate is attached to the **Informal Drawings (5 pages)** of the
above-named application.

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DOCKET NO. : **1819/100211**

APPLICANTS : **Mitchell Rosen and Noboru Ohta**

TITLE : **A DATA-EFFICIENT AND SELF-ADAPTING IMAGING
SPECTROMETRY METHOD AND AN APPARATUS
THEREOF**

Certificate is attached to the **Utility Patent Application Transmittal Letter**
(2 pages) in duplicate of the above-named application.

“EXPRESS MAIL” NUMBER: **EL801322825US**

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APPLICANTS : Mitchell Rosen and Noboru Ohta

**TITLE : A DATA-EFFICIENT AND SELF-ADAPTING IMAGING
SPECTROMETRY METHOD AND AN APPARATUS
THEREOF**

Certificate is attached to the Utility Patent Application which includes Specification, Claims, and Abstract (22 pages) and UNSIGNED Combined Declaration and Power of Attorney (2 pages) of the above-named application.

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